

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Title: STARCH NETWORKS AS ABSORBENTS OR SUPERABSORBENT MATERIALS AND THEIR PREPARATION BY EXTRUSION

Appl. No.: 10/550,748

Inventor: Claude Thibodeau et al

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Examiner: Schmidtmann, Bahar

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DECLARATION UNDER 37 C.F.R. § 1. 132

I, Frederic Picard, do hereby declare as follows:

1. I am currently employed by Archer Daniels Midland Company (ADM), the present assignee of the above referenced patent application. Prior to employment by ADM, I was employed by Le Groupe Lysac, Inc, (Lysac) the former assignee of the above referenced patent application. My duties with Lysac, and with ADM include, and have always included, management of patent preparation and prosecution for matters developed by personnel working in the field of absorbent polysaccharides.
2. In that capacity with Lysac I worked closely with the inventors on the present application and other employees engaged in research on absorbent particles made from various types of starch and starch derivatives, and the use of extruders to make the same. I have institutional recollection of facts associated with that work and have access to archival notebooks, data, and memorandum prepared by Lysac personnel pertaining to the same.
3. I have read and understand the Office Action dated April 26, 2011 for the above referenced application and have read the cited art, including Grossmann et al (*Carbohydrate Polymers 45, 2000, 347-353*). It is my understanding that the US Patent Office takes the position that extruded starch particles from cassava, such as those taught by Grossmann, including those cross linked with sodium trimetaphosphate (STMP) would inherently have FSC and CRC values of at least 13 g/g and a and at least 10 g/g for a solution of solution of 0.9% saline. This is not the case.
4. The term “waxy starch” has a defined meaning in the field of starch research and plant breeding and refers to a starch that is almost entirely amylopectin, certainly always greater than 90% amylopectin. (See *Functional Properties of Starch*, M. Satin, *FAO Agricultural and Food Engineering Service*, Table 2, attached herewith). Waxy varieties of starch bearing plants are not

naturally occurring but rather are generated by plant breeding and genetic engineering techniques. Hence, in the scientific literature, an author working with a waxy starch variety will always refer to it specifically as "waxy". A reference to a starch without the prefix "waxy" means the starch is ordinary starch. Grossmann does not refer to waxy cassava starch, but merely refers to cassava starch. The amylose content of ordinary cassava starch is 17% (see Satin, Table 2) the remaining 83% being amylopectin. Indeed, waxy cassava was almost assuredly not used in Grossmann, because the discovery (development) of waxy cassava appears to be much later than the year 2000 publication date of Grossmann. (See *Discovery of an Amylose-free Starch Mutant in Cassava*, by Ceballos et al, *J. Agric. Food Chem* 2007, 55 7459-7476, attached herewith).

5. Our group has not experimented with any cassava starch, however has done several extrusion and cross linking reactions with wheat starch. Wheat starch has an amylose content of 26% meaning an amylopectin content of 74%. The properties of extruded and crosslinked wheat starch will be far more similar to the properties of extruded and crosslinked cassava starch than waxy starch because of the presence of relatively similar amounts of amylose in cassava and wheat.

6. Attached herewith is an "Experimentation Sheet" derived from our data archives dated September 6, 2002. In that experiment, a wheat starch designated "Starch A," was subject to extrusion and simultaneous cross linking with a solution comprising 99% sodium trimetaphosphate (STMP) and 1% sodium tripolyphosphate (STPP). This is the nearest conditions from our work, to the extrusion and cross linking of cassava starch with STMP as taught by Grossmann. It will be observed from the Product Analysis results, that the extruded cross linked particles had an absorption (FSC) of only 5.6 g/g and a CRC of only 4 g/g. It is therefore evident that ordinary (non waxy) starches extruded with and crosslinked with STMP will not produce particles that have FSC and CRC values of at least 13 g/g and a and at least 10 g/g respectively.

7. In addition, it will be noted that the STMP crosslinking and extrusion conditions disclosed by Grossmann are such that the crosslinking reaction occurs first, followed by the reactive extrusion. In contrast, in the instant application and in the data from the Experimentation Sheet, where crosslinking is used, the crosslinking occurs during the extrusion process. Because starch is in the form of granules rather than an open molecular structure, the prior crosslinking reaction in Grossmann would only affect starch moieties on the outer side of the granule. In contrast, the crosslinking during extrusion opens up the granular structure to form an accessible network. Hence, the crosslinking and extrusion process described by Grossmann would result in less crosslinking and therefore even lower FSC and CRC values than those demonstrated by us illustrated in the Experimentation Sheet.

8. I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that the making of willfully false statements and the like is punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and may jeopardize the validity of any patent issuing thereon.

September 26, 2011
Date

Frederic Picard

Experimentation sheet

Sample Number : NN244.6

Date : September 6, 2002

Formulation		Extrusion parameters		Extrudate Sampling	
82.2 % de	Starch A	Zone 1 Temperature:	35 °C	Sample time :	247 Seconds
14.5 % de	Demineralized water	Zone 2 Temperature:	69 °C	Weight sampled :	189.69 Grams
3.30 % de	STMP:STPP (99:1)	Zone 3 Temperature:	135 °C	Extrudate's temperature:	138 °C
% de		Discharge Temperature :	135 °C	Residence time:	238 Seconds
% de		Die temperature :	135 °C		
% de		Pressure :	0 Bar		
Theoretical moisture content	21.90 %	Pressure :	0 PSI	Weight flow rate :	0.77 g/s
Observed moisture content	19.79 %	RPM :	25 rotation / min	Specific energy :	0.159 kWh/Kg
Soluble matters :	N.A. %	Ampereage :	2 Amperes	Extrudate diameter :	9.69 mm
Observations :		Voltage :	220 Volts	Expansion :	201.04 %
Mix number 7		Torque :	N.A. lbf-po		
		Die length :	2.86 mm	Eight before wash :	0.4991 g
		Die diameter :	4.82 mm	Weight after wash :	0.4088 g
				Weight loss :	18.0926 %

Product Analysis

Absorption :	5.59 g/g	Soluble content :	%	Observations :
CRC Edana :	4 g/g	DS aprox:		
Tube retention:	8 g/g	DS :		
Viscosity at 20 rpm:	6,850 cPoises	DR :		
Gel Firmness :	2	FTIR :	3435, 2928, 2148, 1644, 1454, 1157, 1080	
Particle size:	Microns			
Particle density:	g/cm ³			
AUL:	2.51 g/g			

Discovery of an Amylose-free Starch Mutant in Cassava (*Manihot esculenta* Crantz)

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One of the objectives of the cassava-breeding project at CIAT is the identification of clones with special root quality characteristics. A large number of self-pollinations have been made in search of useful recessive traits. During 2006 harvests an S_1 plant produced roots that stained brownish-red when treated with an iodine solution, suggesting that it had lower-than-normal levels of amylose in its starch. Colorimetric and DSC measurements indicated low levels (3.4%) and an absence of amylose in the starch, respectively. SDS-PAGE demonstrated the absence of GBSS enzyme in the starch from these roots. Pasting behavior was analyzed with a rapid visco-analyzer and resulted in larger values for peak viscosity, gel breakdown, and setback in the mutant compared with normal cassava starch. Solubility was considerably reduced, while the swelling index and volume fraction of the dispersed phase were higher in the mutant. No change in starch granule size or shape was observed. This is the first report of a natural mutation in cassava that drastically reduces amylose content in root starch.

KEYWORDS: Amylose; genetic variation; germplasm collections; inbreeding; recessive traits

INTRODUCTION

Moorthy (1) mentioned that sources of starch include cereals, tree, fruit and vegetable crops, and, very relevant for tropical environments, the root and tuber crops. Commercial starch extraction, however, is carried out from a limited number of crops. Among the noncereal sources, the most important are the sago palm, potato, cassava, and sweet potato. About 73.7 to 84.9% of dry root weight of cassava is starch (2). A comprehensive revision of cassava starch properties has been published (1). The starch is easily extractable from the roots because they contain low levels of protein and fat.

Cassava starch, if properly extracted, is pure white and its low levels of fat and proteins imply that both the starch and its derivatives have a noncereal taste, which is very desirable in many food products. Compared with other root and tuber tropical crops, cassava starch and its biosynthesis have been better studied (3–5). The starch granules are generally round (oval), with a flat surface on one side (truncated) and range from 5 to about 40 μm in size.

Glucose seems to be the only monosaccharide detectable from cassava starch (6). Amylose content has been reported to range from 17.9 to 23.6% (6), 17 to 25% (7), 18 to 25% (1), or 13.6 to 23.8% (2). CIAT has conducted quantification of thousands of starch samples from improved clones as well as from clones of the germplasm collection. The average amylose content from 2000 different genotypes was $16.6\% \pm 2.32$ (CIAT, unpublished data). There is a clear genetic influence on the content of amylose in the starch, and neither the age of the plant nor environmental factors seem to play a major role in determining it. Swelling volume ranges between 25 and 30 mL/g, and digestibility is good. X-ray diffraction of cassava starch, follows an A and C pattern (1, 2). Cassava starch is one of the least resistant to enzymatic breakdown, among the noncereal starches, with hydrolysis curves similar to those of normal maize starch (2).

One of the earliest genes characterized in any organism is the waxy (*Wx*) locus of maize (8). Ample evidence later showed that it encodes the starch granule-bound glucosyl transferase (GBSS) in most if not all plants, an enzyme of about 58–60 kD (9). Early studies using the iodine test found that wild-type and mutants for the *Wx* function could be easily distinguished. Iodine solutions stain distinctively the *wx* starch because it lacks (or has drastically reduced levels of) amylose. This property has commercial advantages that have been extensively exploited.

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Starch composed exclusively of amylopectin is advantageous for several commercial purposes (8). Waxy maize starch shows properties similar to those of cassava starch (10).

Compared with the several mutations reported for starches from other crops like maize and potato, cassava offers comparatively very little variation (11, 12). There are two reasons for this: cassava is seldom self-pollinated, and since most of these mutations are recessive in nature there has been little opportunity for them to express. But even if the mutations had the possibility of expressing it would be difficult to detect them because the root does not mature in the way the cereal kernel does. When the kernels from cereals like maize reach physiological maturity and dry down, different starch mutations become phenotypically distinctive and are easily detected visually (13).

The first report of transgenic cassava was made in 1995 (14). Work at Wageningen University in The Netherlands produced the first transgenic amylose-free cassava (15, 16). This genotype was produced using antisense technology to silence the GBSS-I gene.

CIAT has implemented several strategies to develop high-value cassava clones to take advantage of the new opportunities opened to cassava by the globalization of the economies in many tropical countries (12, 17). The main objective is to develop not only clones with high and stable productivity, but also with root characteristics that better fit the needs of the different industries. For the feed industry high-protein clones have been identified (18). For the starch industry different approaches to develop and identify clones with novel starch properties have been gradually introduced in the cassava-breeding project (17). In addition, the identification of those genotypes where interesting starch quality variations are expressed requires the availability of special tests. CIAT has upgraded its laboratory capacity to be able to process as many as 15000 starch samples/year using rapid viscoanalyzers and measuring amylose/amylopectin ratio, total sugars, cyanogenic potential, and dry matter contents. DSC quantifications can also be made.

The objective of this article is to report the characteristics of one cassava clone (AM206-5) with very distinctive characteristics discovered in March 2006.

MATERIALS AND METHODS

As part of the project to introduce inbreeding in cassava (*Manihot esculenta* Crantz) genetic improvement, a large number of self-pollinations were performed in different genotypes from the cassava-breeding project at CIAT, as well as from the germplasm collection (17). More than 20 000 botanical seeds obtained from self-pollinations from 74 different parental clones have been obtained. These partially inbred genotypes were used for different purposes and carefully screened for root quality traits. Given the number of samples routinely analyzed, small flour and starch samples are taken from each genotype pooling different roots for sampling purposes.

Only one plant per genotype was available because the evaluations were made on individual plants obtained from botanical seed. Since genotypes included partially inbred plants, their vigor was somewhat affected and root productivity variable. At least one commercial size root was harvested per genotype. Whenever possible, up to five roots per plant and genotype were harvested. Roots were washed and peeled before samples were prepared for the different analyses performed.

Root and Starch Moisture Content. Up to five roots from the same plant were peeled and immediately cut into small pieces and mixed. Moisture content was determined after drying 50 g of sample (freshly cut pieces or starch) at 60 °C for 24 h (19).

Iodine Stained Field Evaluation of Roots and Stems. One slice from the central part of the each root and transversal cuts of the stems were sprayed with iodine solution 2% (2 g KI and 0.2 g I₂ in 100 cm³

of distilled water). Reddish-brown staining is typical of amylose-free starch, whereas cassava starch with normal amylose-amylopectin mixture stains dark-blue.

Flour Extraction. Freshly cut pieces from the harvested root(s) were lyophilized during 24 h at -30 °C and then ground. A FreeZone stoppering tray drier (model 79480) and a 6 liter Freeze Dry System (model 77530) (Labconco Corporation, Kansas City, MO) were used. The flour thus obtained was stored in plastic bags for further analyses.

Starch Isolation. The freshly cut pieces were suspended in tap water and crushed in a 4 L capacity Waring commercial blender (New Hartford, CT). The slurry was filtered through a 100 µm sieve. The starch was allowed to settle, and the supernatant was decanted off and dried in an oven with fan-forced ventilation at 40 °C for 2 days (Thelco oven, model 28, Precision Scientific Subsidiary of GCA Corp., Chicago, IL).

Ash Content. Ash content was calculated following heating at 550 °C for 3 h (20).

Crude Fiber Content. The fiber content was determined for the loss on ignition of dried residue remaining after digestion of cassava flour (2 g) with 1.25% H₂SO₄ and 1.25% NaOH (21).

Total and Reducing Sugars. Content of total and reducing sugars were determined according to Cronin et al. (22). Sugars were extracted from 2 g of root flour using an 80% ethanol solution, a Fehling reagent, and a glucose standard curve. A Cecil spectrophotometer model CE 2021-series 2000 (Cambridge, U.K.) was used in the determination.

Determination of Starch Content. Starch was measured after incubation with thermostable α-amylase and then with amyloglucosidase. The released glucose was measured with a spectrophotometer after reaction with ABTS-reagent containing glucose oxidase and peroxidase (23). Starch content was calculated as 90% of glucose content.

Granule-Bound Starch Synthase Identification: SDS-PAGE. Fifty milligrams of dry starch were suspended in 0.35 cm³ of loading buffer (3% SDS; 0.001 blue dye; 0.0625 M buffer pH 6.8; 1% B-mercaptoethanol), boiled for 10 min (24), and centrifuged at 10 000 rpm for 5 min. The resulting supernatant (crude extract) was subjected to SDS-PAGE denaturing electrophoresis on a 7.5% gel as described by Laemmli (25) with some modifications described in CIAT (26). Ten microliters of each sample were loaded per lane. Constant voltage of 100 V was applied for 1 h at 10 °C and increased to 150 V for the remaining duration of the run until the tracking dye reached the end of the gel. Gels were stained with silver.

Optical Microscopy. Starches from different clones were placed in a slide with a spatula, stained with iodine solution, 0.2%, and observed through an Olympus CX41 light microscope (Tokyo, Japan) using a 40× magnification lens.

Scanning Electron Microscopy (SEM). Dehydrated starch granules were sprinkled on double-sided sticky tape, mounted on circular aluminum stubs, coated with 35 nm of gold-aluminum, and then photographed in a scanning electron microscope (JSM 820 Jeol, Tokyo, Japan) at an accelerating voltage of 20 kV. Granule size was measured.

Paste Clarity. The methodology suggested by Craig et al. (27) was used. A 1% db aqueous dispersion of starch was boiled at 97 °C (1000 m above sea level) with thorough shaking every 5 min for 30 min. Transmittance was measured after cooling to room temperature at 650 nm.

Colorimetric Amylose Determination. Amylose content in the starch was measured following standard procedures (28). Starch granules were first dispersed with ethanol and then gelatinized with sodium hydroxide. An aliquot was then acidified and treated with an iodine solution, which produces blue-black stain coloration. The color intensity, which is related to amylose content, was then measured with a spectrophotometer and compared with standard curves obtained using purified amylose and amylopectin extracted from potato tubers. Five different quantifications per starch sample were made, and mean values were then calculated.

Differential Scanning Calorimetry (DSC) and Amylose Content. The methodology reported by Mestres et al. (29) was used. DSC analyses were performed on a Perkin-Elmer DSC 7 device (Perkin-Elmer, Norwalk, VA) using sealed stainless-steel pans. The sample pan (10–11 mg of starch and 50 µL of lyso-phospholipid 2% w/v in

water) and the reference pan (empty) were heated from 25 to 160 °C at 10 °C min⁻¹, holding at 160 °C for 2 min, and then cooling to 60 °C at 10 °C min⁻¹. The onset temperatures (GT) of each sample were determined on the thermograms. Amylose content was also measured from the energy of amylose-lysophospholipid complex formation using the DSC. The analysis was performed in duplicate, and mean values were calculated.

Pasting Properties. Hot starch dispersion viscosity profiles were obtained with a Rapid Visco Analyzer model RVA-4 series (Newport Scientific, Australia). Starch (1.25 g db) was dispersed in distilled water (near 23 cm³) to 5% suspension. Viscosity was recorded using the temperature profile: holding at 50 °C for 1 min, heating from 50 to 90 °C at 6 °C min⁻¹, holding at 90 °C for 5 min, and then cooling down to 50 °C at 6 °C min⁻¹ with continuous stirring at 160 rpm. Four parameters were measured: pasting temperature (PT), peak viscosity (PV), hot paste viscosity at the end of the plateau at 90 °C (HPV), and the cool paste viscosity at 50 °C (CPV). With them, three additional parameters were calculated: breakdown (BD), estimated as PV - HPV; setback (SB), estimated as CPV - PV; and consistency (CS), estimated as CPV - HPV.

Swelling Power, Solubility, and Dispersed Volume Fraction Measurements. Swelling power and solubility patterns (30) were determined using 1.5% db (w/w) starch dispersions (0.42 g dm dispersed in 27.58 g of distilled water). Paste was prepared in Rapid Visco Analyzer (RVA) holding at 35 °C for 1 min, heating to 75 °C at 6 °C min⁻¹ rate, holding at 75 °C for 2.5 min. The paste was immediately transferred to 50 cm³ centrifuge tube. The supernatant and sediment after centrifugation for 5 min at 6000g at 25 °C were collected and weighed (W_{su} and W_{se} , respectively) then dried at 100 °C for 24 and 48 h, respectively, and weighed (D_{su} and D_{se} , respectively). Three parameters were calculated: concentration of soluble material in the supernatant (solubility), the swelling power, and the volume fraction of the dispersed phase (Φ):

$$\text{solubility (\%db)} = 100D_{su}/0.42$$

$$\text{swelling power} = (W_{se} - D_{se})/D_{se}$$

$$(\Phi) = (27.86 - (W_{su} - D_{su}))/27.86$$

where the factor 27.86 is calculated as total volume (cm³) of the paste. (Starch specific density is 1.5 g/cm³.)

$$27.86 = 27.58 + (0.42/1.5) \text{ cm}^3$$

Morphological Description of Cassava Germplasm. For the morphological description of different genotypes, descriptors mentioned in the literature (31) are used by the cassava-breeding project at CIAT.

Experimental Design. Stem cuttings can reproduce cassava asexually to produce cloned plants. Because the primary tap root system of a plant obtained from botanical seed ("seedling plant") is different than the adventitious roots of a plant obtained from stem cuttings ("cloned plants") some root quality traits may change from seedling to cloned plants (31). When roots from a seedling plant provide promising results during the routine screening of germplasm, several stem cuttings are obtained and that particular genotype is cloned for further evaluation. This study reports the characteristics of a particular genotype that showed promising results at the seedling plant stage (March 2006) and was thus multiplied to produce a total of 40 cloned plants. From these 40 cloned plants five of them were randomly chosen as the source of roots for further analyses (April 2007). Roots from each of these plants were harvested and processed independently to extract flour and starch. Analyses of flour and starch from AM206-5, therefore, are based on five independent replications. As a reference point results of two "normal" genotypes (MCOL 2208 and MPER 247) illustrate values that are typical for cassava flour and starch and help to highlight the uniqueness of some characteristics of AM206-5. Three independent aliquot analyses were made on the starch samples of pooled roots from MCOL 2208 and MPER 247. Flour samples for the two check genotypes, however, were too small to have replications.



Figure 1. Differential staining with iodine of roots (A) and stems (B) of a normal cassava clone (stained blue) and AM206-5 (stained reddish brown).

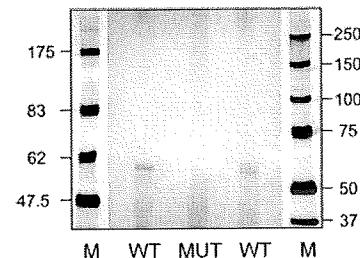


Figure 2. Comparison of granule-bound proteins. Starches were purified from the roots of two different wild type (WT) clones and from AM206-5 (MUT). Granule-bound proteins were extracted by gelatinizing the starches in gel loading buffer containing SDS, separated on SDS-PAGE gels and detected by silver staining. The molecular weights of marker proteins (M) in kDa are indicated.

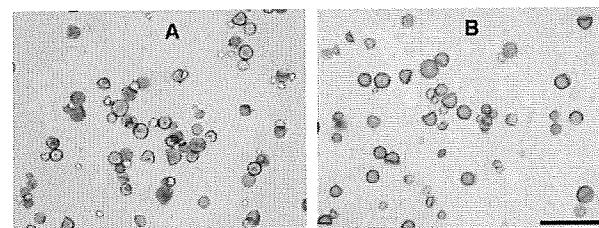


Figure 3. Differential staining with iodine of purified starches from the roots of abnormal cassava AM206-5 (A) and starch from a normal cassava clone (B). The bar is 50 μm .

Table 1. Proximal Analysis % (g/100 g db) from the Three Cassava Genotypes Analyzed

parameters ^a	AM206-5 ^b	MCOL 2208	MPER 247
dry matter % (g/100 g wb)	31.5 (1.3)	34.8	35.7
ash content (%)	3.0 (0.2)	1.6	2.2
crude fiber content (%)	4.6 (0.7)	2.6	3.2
total sugars (%)	1.6 (1.1)	2.9	3.6
reducing sugars (%)	0.8 (0.8)	0.9	1.3
starch content (%)	86 (3.9)	88	86

^a wb = wet basis. ^b The standard deviations based on the independent analyses of the roots from five different cloned plants of AM206-5 are given within parentheses.

RESULTS

In December 2004 several self-pollinations were made on a cultivated cassava genotype as part of the project to introduce inbreeding in cassava genetic enhancement at CIAT. The S₁ family AM206, one among many S₁ families produced and evaluated, included 79 seeds, which were germinated in a greenhouse on April 2005. Only 40 plants were viable and transplanted to the field in June 2005. Of those, 17 plants survived with a good development after 9 months. In March 2006, roots from the S₁ genotype AM206-5 showed a unique

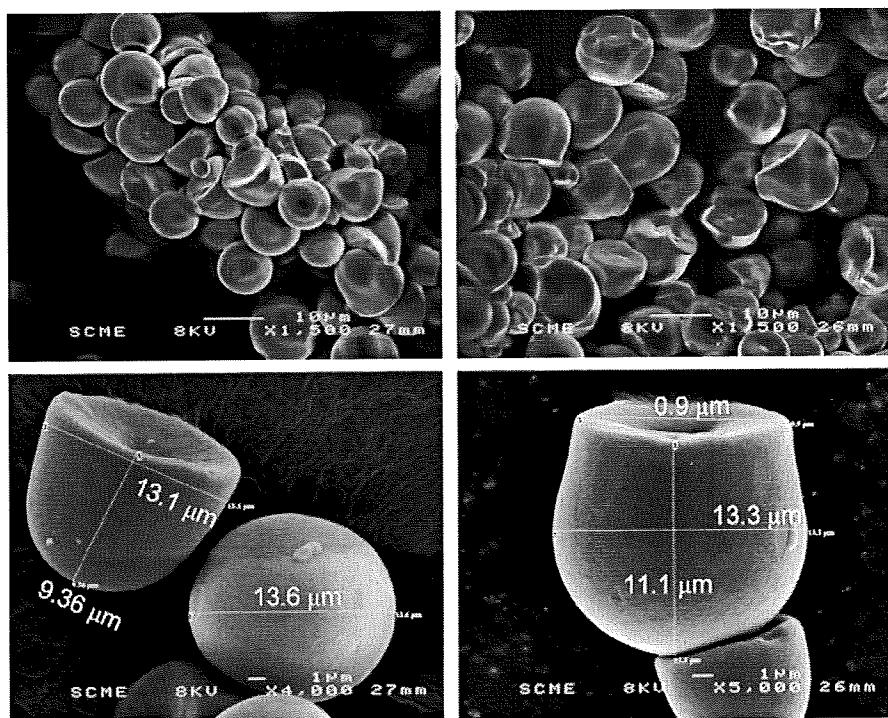


Figure 4. Scanning electron microphotographs (magnification specified in each microphotograph) of starch from genotype AM206-5 (left) and "normal" (right) cassava starch. Notice that magnifications for the photographs at the bottom are different.

and distinctive staining when treated with an iodine solution (**Figure 1**). There was a differential staining with the iodine solution on roots and stems from AM206-5 compared with those from other genotypes. Roots and stems from AM206-5 stained brown-reddish, while roots and stems from other genotypes showed the typical blue-dark staining (**Figure 1**). The differential staining prompted us to carry out other tests on AM206-5, and their results are presented herein.

Upon the discovery of the special characteristics of the single seedling plant representing AM206-5, up to forty stem cuttings were obtained to clone this genotype and planted at CIAT-Palmira on June 2006. Roots from five random cloned plants were then harvested in April 2007, and analyses were made to confirm the properties first identified in the seedling plant in March 2006.

Root and Starch Moisture Content. **Table 1** presents results of the proximal analysis of root flour, including dry matter and starch contents of the three genotypes reported. Flour from AM206-5 was extracted from the five cloned plants and analyzed independently. Results from the two check genotypes were based on nonreplicated analyses and are included just as a reference for the reader. Dry matter content for the three genotypes fell within normal ranges for cassava, although that of AM206-5 was slightly lower than those of the reference genotypes. Ash content tended to be higher in AM206-5 than in the other genotypes (**Table 1**). The starch extraction procedure utilized left only traces of protein. In the case of AM206-5, for example, average protein content of the starch from the five plants sampled was 0.12% with a standard deviation of 0.037.

Granule-Bound Starch Synthase Identification: SDS-PAGE. **Figure 2** shows the SDS-PAGE used to confirm the presence or absence of the GBSS enzyme in a preliminary electrophoresis. This study was made on the starch from the original AM206-5 seedling plant in March 2006. Starches from two different wild type genotypes were also analyzed. Results

Table 2. Starch Physicochemical Properties of the Starches from the Three Cassava Genotypes Analyzed^a

parameter	AM206-5	MCOL 2208	MPER 247
paste clarity (%)	57.6 (1.6)	56.2 (0.3)	50.3 (0.6)
colorimetric amylose content (%)	3.4 (0.2)	20.4 (0.3)	19.7 (0.4)
amylose content (%)	0.0 (0.0)	19.2 (0.0)	19.0 (0.5)
gelatinization onset temp (GT) in °C	63.1 (0.7)	60.4 (0.1)	61.8 (0.1)

^a The standard deviations based on the analyses of roots from five AM206-5 plants or three aliquots from root samples of MCOL 2208 and MPER 247 are given within parentheses.

clearly suggested that the starch from AM206-5 lacks the GBSS enzyme (9), thus further indicating that it was, indeed, a waxy starch.

Optic Microscopy. **Figure 3** presents contrasting photographs of starch from AM206-5 (obtained from the seedling plant in 2006) and that of a wild-type genotype stained with a 0.2% iodine solution. A clear differential staining could be observed between the starches from the two different genotypes. Starch from AM206-5 showed the typical morphology and size of a cassava starch granule but stained brown-reddish in comparison with normal starch, which stained dark blue.

Scanning Electron Microscopy (SEM). **Figure 4** presents scanning electron microscope photographs of the starch from AM206-5 (obtained from the seedling plant in 2006) and a "normal" starch at different magnifications. This figure shows again the typical starch granule morphology of cassava and no visible difference in shape or size. Granules from AM206-5 and the "normal" starch are between 10 and 15 μ m, and their shape showed the typical truncated morphology distinctive for cassava starch.

Table 2 presents starch physicochemical properties. Data from AM206-5 come from the starches of five random cloned

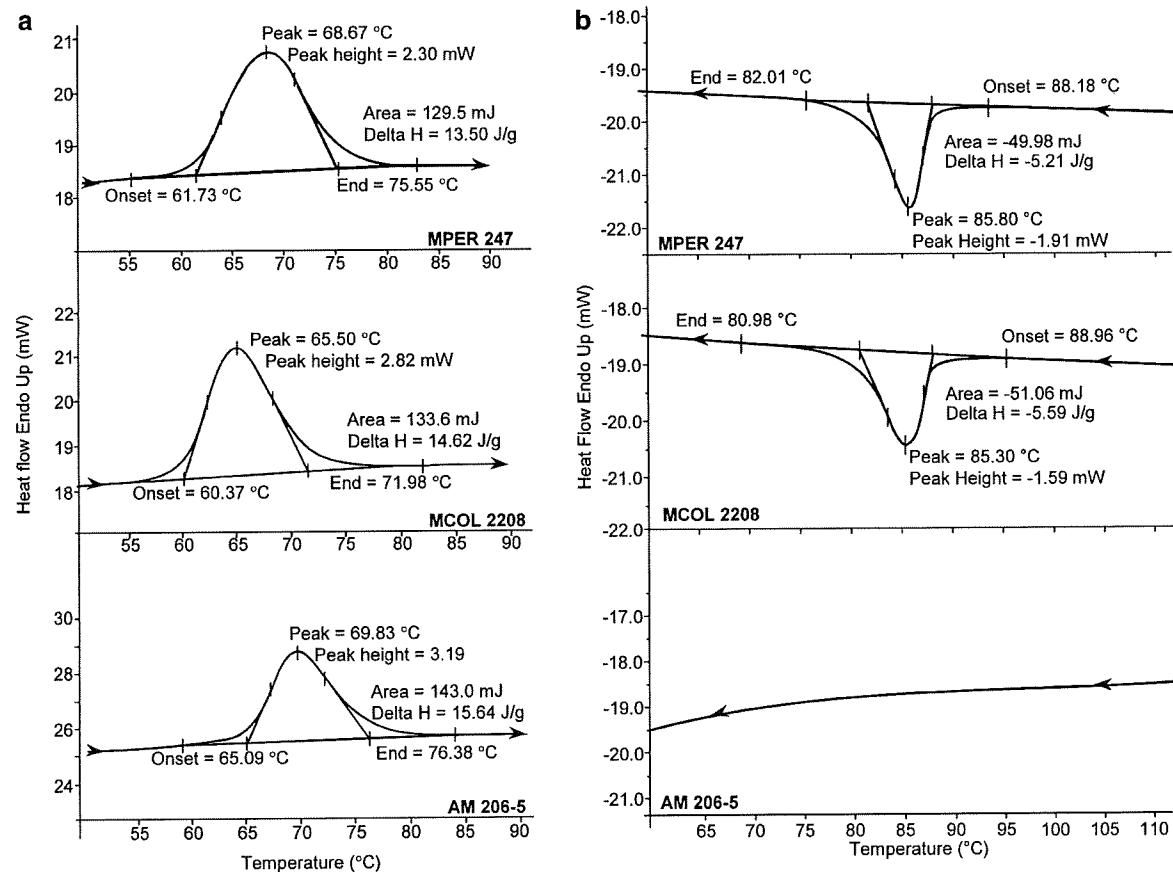


Figure 5. (A) Differential scanning calorimeter (DSC) results from AM206-5 (one of the five analyses made) and two cassava checks (one of the three analyses made) in the heating phase. (B) Differential scanning calorimeter (DSC) results from AM206-5 (one of the five analyses made) and two cassava checks (one of the three analyses made) in cooling phase.

plants harvested in April 2007 and analyzed individually. Data from MCOL 2208 and MPER 247 were based on three independent quantifications of a sample from pooled roots following the standard procedure at the cassava-breeding project of CIAT. Paste clarity was not different in the starch of AM206-5 compared with the other two genotypes. Average amylose content using the colorimetric method was 3.4%, compared with the averages of about 20% for the wild types, typical of cassava starch. Amylose content using the DSC indicated total absence of amylose in the starch. Both types of quantifications were statistically significant. These results confirm those obtained from the starch of the seedling plant in 2006 (Figures 5A and 5B). The detection of a small amount of amylose using the colorimetric method can be due to lack of purity in the commercial potato amylopectin standard used in the analysis. Also, some long-chain amylopectin branches can bind like amylose, acting somewhat like amylose in colorimetric tests (32).

Figure 5 shows the DSC plots from the starches of the three genotypes evaluated. The differential curve of the AM206-5 starch (obtained in 2006 from the original plant) in the cooling phase was very striking. The mixture of amylose and amylopectin in the gels from MCOL2208 and MPER247 absorbed energy for a molecular re-organization which was proportional to the area depicted in Figure 5 and has been demonstrated to be related to amylose concentration (29). The gel from AM206-5, on the other hand, did not show any energy absorption during the cooling phase further indicating absence of amylose in the starch.

Table 3. Pasting Behavior of Amylose-free and Normal Cassava Starch from the Three Genotypes Analyzed^a

parameter ^b	AM206-5	MCOL 2208	MPER 247
pasting temperature (PT) in °C	68.3 (0.8)	65.4 (0.4)	67.5 (0.2)
peak viscosity (PV) in cP	890 (38)	577 (19)	746 (20)
hot paste viscosity (HPV) in cP	399 (18)	329 (12)	456 (16)
cool paste viscosity (CPV) in cP	490 (17)	416 (16)	580 (18)
breakdown (BD) in cP	491 (31)	249 (7)	290 (27)
setback (SB) in cP	-400 (32)	-161 (8)	-166 (24)
consistency (CS) in cP	91 (3)	88 (6)	124 (6)

^a The standard deviations based on the analyses of roots from five AM206-5 plants or three aliquots from root samples of MCOL 2208 and MPER 247 are given in parentheses. ^b cP=centipoise.

Starch Functional Properties. Table 3 presents the most relevant results from the pasting behavior of waxy and normal cassava starch obtained from the amylograms presented in Figure 6. AM206-5 showed higher viscosity peak (890 cP) versus those from the other two genotypes (577–746 cP). Overall the amylograms show the typical performance of cassava profile: lack of resistance to high temperature and sensitivity to shearing stress. Breakdown was noticeably different in AM206-5 (491 cP) compared with typical cassava (249 and 290 cP), suggesting a reduced tolerance to shear stress in the mutant. The starch from AM206-5 also showed a distinctive setback value (-400 cP) compared with the starches from the two checks (-161 and -166 cP). There was no relevant difference for consistency.

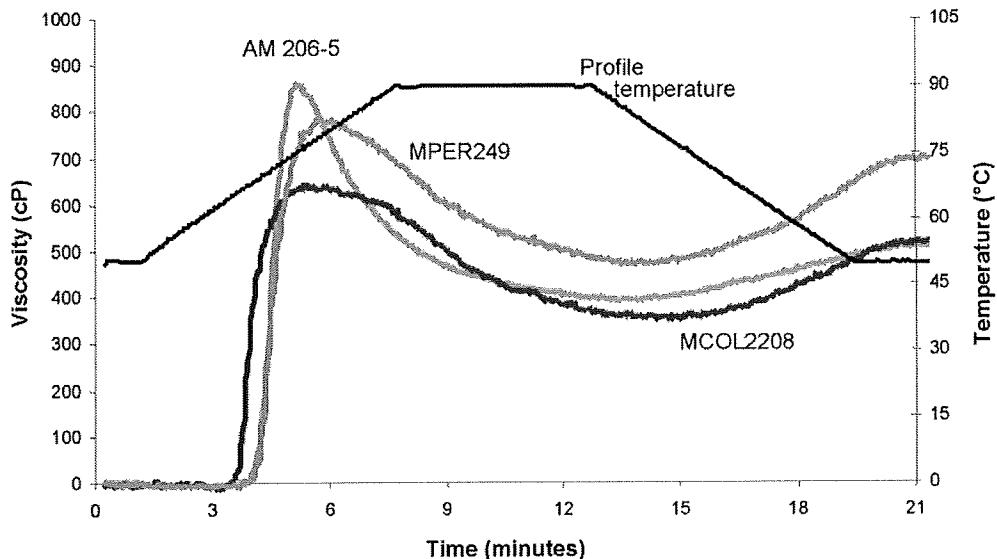


Figure 6. Amylograms from three cassava genotypes obtained using a rapid viscoanalyzer (RVA). The waxy starch from AM206-5 is depicted as a red line, which shows the averages for starches from five different roots. In the case of MPER 249 and MCOL 2208 three aliquots were used.

Table 4. Solubility and Swelling Values of Waxy and Normal Cassava Starch^a

genotype	solubility (% db) ^b	swelling index (g·g ⁻¹)	volume fraction of dispersed phase (Φ)
AM206-5	6.0 (0.5)	55.7 (2.3)	0.50 (0.03)
MCOL 2208	14.1 (0.6)	32.3 (0.7)	0.45 (0.00)
MPER 247	13.4 (0.4)	30.8 (0.4)	0.41 (0.01)

^a The standard deviations based on the analyses of roots from five AM206-5 plants or three aliquots from root samples of MCOL 2208 and MPER 247 are given within parentheses. ^b db = dry basis.

Solubility, swelling index, and dispersed volume fraction measurements for the starch from AM206-5 showed contrasting results in comparison with those from the other two "normal" genotypes (Table 4). Solubility of the starch from AM206-5 was about half of the values observed for MCOL 2208 and MPER 247. This is to be expected because amylose is more soluble than amylopectin. This behavior further suggests that the starch of AM206-5 had considerably lower amounts of amylose than normal cassava starches. Swelling index in AM206-5 was considerably higher (55.7 g g⁻¹) than for the other two starches (30.8–32.3 g g⁻¹). The volume fraction of dispersed phase was slightly higher in AM206-5 (0.5 Φ) compared with the starches from the other two genotypes (0.41–0.45 Φ).

Morphological Description of the AM206-5 Genotype. The morphology and plant architecture of AM206-5 (averages of five cloned plants) did not have any unique characteristic (except its starch) and can be considered typical of cassava. Plant height was 170 cm, and height for first branching was 56 cm. On average there were four branching levels, three branches per node (trichotomous), and a branching angle of 47.5 degrees. Branching and flowering occurred about 5 months after planting. Stem growth was straight, and plant type was compact. External color of the stem was light brown, whereas the color of the cortex was dark green. Internode length ranged from 8 to 15 cm. The color of apical leaf was light green, whereas fully expanded leaves were dark green. Terminal braches and leaf veins were green. Petioles were yellowish green with an average length of 12.5 cm and a horizontal position. The shape of the

central leaf lobe was lanceolate with an average of five lobes per leaf. Central lobe was, on average, 3.2 cm wide and 13.0 cm long. Leaf scars were prominent. Apical pubescence was absent. Stipules were long. Root shape was cylindrical, without constrictions, dark brown external color and pink cortex. The parenchyma was white. The texture of the root epidermis was not smooth. Roots had peduncle. Root yield was not measured because it would have been based on single plant evaluation without replications. Yield of a partially inbred genotype was not as relevant as qualitative traits, such as starch characteristics. Root productivity, however, was adequate as revealed by the size of the roots (Figure 1) and other related data (Table 1).

DISCUSSION

All analyses converged to support the hypothesis that genotype AM206-5 has amylose-free (waxy) starch on the basis of data from the seedling plant derived from botanical seed (starch extracted and analyzed in 2006) and the five random cloned plants (starch extracted and analyzed in 2007). This is the first report of such discovery after thousands of evaluations made in different landraces and improved cassava germplasm. Differential iodine staining of roots, stems, and starch from AM206-5 was the first indication, which was then supported by the absence of the GBSS enzyme in the SDS-PAGE electrophoresis. Functional properties of starch from this genotype were also different and in agreement with the expectations for an amylose-free starch (high viscosity, high swelling index, and low solubility). Colorimetric and DSC results to quantify amylose content finally proved that the starch from AM206-5 has very low levels or absence of amylose, respectively. Granule morphology was not affected by this mutation.

The combined results reported in this study produce convincing evidence that AM206-5 has a naturally occurring mutation on the *Wx* locus which is the one codifying for the GBSS enzyme. Molecular and traditional genetic analyses are currently underway to further confirm this. These results are important not only because of the commercial applications of a cassava clone with waxy starch but also because they demonstrate that the self-pollination of cassava (particularly from genetically diverse germplasm) is likely to yield interesting results. This is

the first report of a naturally occurring waxy starch mutation in cassava. However, waxy cassava starch has been obtained through genetic transformation (15, 16). Carvalho and co-workers reported in 2004 (11) a group of interesting "sugary" mutations in cassava that results in storage roots with high free sugars (mostly glucose) and a glycogen-like molecule. The roots from these genotypes have reduced levels of amylose.

Crosses of AM206-5 are underway to transfer the mutation to germplasm adapted to the most important cassava growing environments. Because of the heterozygous nature of parents used in cassava breeding, a traditional back-cross scheme cannot be properly implemented in cassava. Therefore, the strategy relies on making a first cycle of crosses between AM206-5 and elite germplasm. All the resulting F1 genotypes will be heterozygous (*Wx wx*) for the mutation and are, therefore, expected not to produce amylose-free starch. The F1 plants from the first cycle of crosses will be crossed among themselves to produce a second cycle of crosses. It is expected that about 25% of the segregating progenies will be homozygous (*wx wx*) for the GBSS locus and, therefore, will produce amylose-free starch. Because crosses will have been made among the genetically diverse germplasm from the first cycle of crosses, inbreeding in the second cycle of crosses will be minimized. It should be possible, therefore, to identify vigorous and productive genotypes with waxy starch in the second cycle of crosses.

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Functional Properties of Starches

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Introduction

Few can deny that the indigenous starch crops of the tropics are true wonders of nature. With sun and rain, and little or no artificial inputs, they are able to grow in great abundance. Whether it be cassava, arrowroot, sago, taro, sweet potato or yam, for centuries tropical starches have served as staple foods for millions of people, throughout the hot and humid regions of the world. Indeed, these starch crops are so proficient at supplying essential calories to even the very poorest peoples of the world that they are considered to be the quintessential subsistence crop.

But, what is considered to be a blessing in one situation, can turn out to be a burden under another set of circumstances. In the majority of tropical developing countries, the only foreseeable route to economic development is through agricultural development. The irony is that the very crops which have proven to be most suited for tropical agro-climatic conditions and upon which economic development will depend, have been relegated to the role of subsistence crops. Although these crops have been the subject of much investigation in the area of basic production, they have not benefitted from the kind of value-added research required for economic competitiveness on an international scale.

It is extremely difficult to break out of this subsistence crop mode and compete with mainstream starch products such as corn, wheat or potato starches, particularly when it is not the commodities themselves that are the competition, but rather the functional characteristics of the value-added products. Consequently, for many indigenous tropical starch crops, the lack of competitive market access has become the major obstacle to their contribution to agricultural development. Efforts to improve production and yields often result in excess supplies of basic commodities for the existing market demand which, in turn, discourages future production. On the other hand, modern value-added products are generally very application-specific and are thus far less susceptible to the sort of market fluctuations that cause chaos to developing countries whose economies are built upon basic commodities.

Until recently, the starch markets of the world were virtually closed to foreign countries. Import duties were so high that it was practically impossible to sell anything but the most basic commodities, at a price dictated by the buyer. All talk of value-addition to starches of developing countries was considered absurd. However, on April 12, 1994 the GATT Uruguay Round was signed in Marakesh, paving the way for new trade opportunities.

As far as starch is concerned, what are some of the possible consequences of the Uruguay Round? There is tremendous potential for the profitable commercial use of tropical starches, but considerable research and product development of a new type is necessary to properly exploit these materials. The model for product quality and reliability has already been set by the international starch industry. That is who the competition is. If locally-produced tropical starches cannot reach an equivalent level of quality, functionality or reliability, then these products will never survive in the competitive market. There is only so much that a more equitable trade environment can offer.

A review of the sort of research that has been done by both national and international institutes, shows that extensive work has been carried out on agronomic and phenotypic properties for most tropical crops, but relatively little study has been carried out on the sort of functional properties which are of direct technical and economic interest to competitive food and non-food industry. There is little purpose increasing the yield potential of crops that are unsuitable for processors or of limited acceptability to consumers. Far more work must be carried out on those characteristics which will result in products which are more convenient to distribute, easier to process, and have the physical, chemical and organoleptic properties required by the target markets. For those starches that do not have the native functional characteristics that are desired by the target market, an additional effort must be made to value-add or modify them so that they can compete internationally.

The single most important influence to guide practical research is the marketplace. Just as it takes years of study and continuous inquiry to master the disciplines of science, the same can be said for the profession of marketing - it is not a job for amateurs. Ideally, scientists should be working in a team with professional marketers. If scientists are not able to have access to the services of a professional marketer, and wish to be directly involved in some aspects of marketing, then they must be prepared to spend the time and trouble to be as professional at this task as they are in their own scientific discipline.

Most marketers will tell you that, aside from everything else, large markets require a consistent supply and a reliable price and quality. They do not like to be pioneers and it is extremely difficult to interest markets in new products unless these criteria can be assured with some confidence. Another factor which large markets require is time - time to test and re-test new products until they are absolutely certain that they are suitable. You can imagine the problems a large paper company would have if 5000 MT of white paper turns yellow after one year on the shelf because a new starch additive proves to be unstable.

Once these basic factors are accounted for, the next most critical consideration is product performance which, in turn, depends upon the functional characteristics. In fact, that's how starch should be viewed - as a set of functional characteristics suited to a particular application. The functional characteristics we are after are the same ones that have firmly established other starches and natural polymers in specific markets. These functional characteristics follow on from the basic physico-chemical properties of the starch granules and can often be enhanced through value-addition of one type or another.

The most basic of the physical properties of starch granules are their size as exemplified in Table 1.

Table 1 - Granule Size Distribution of Various Starches

Starch Species	Granule Size Range (µm) (Coulter Counter)	Average size µm
Waxy Rice	2 - 13	5.5
High Amylose Corn	4 - 22	9.8
Corn	5 - 25	14.3
Cassava	3 - 28	14
Sorghum	3 - 27	16
Wheat	3 - 34	6.5, 19.5
Sweet Potato	4 - 40	18.5
Arrowroot	9 - 40	23
Sago	15 - 50	33
Potato	10 - 70	36
Canna (Aust. Arrowroot)	22 - 85	53

The size of and distribution of starch granules can be very important for specific applications and even this very basic physical characteristic can be value-added. For example, the small granule size of rice starch makes it very suitable for applications laundry sizing of fine fabrics and for skin cosmetics. Carbonless paper requires the use of starch as a stilt material to protect ink capsule from premature rupturing, as can be seen in Figure 1.

Figure 1- Carbonless Paper

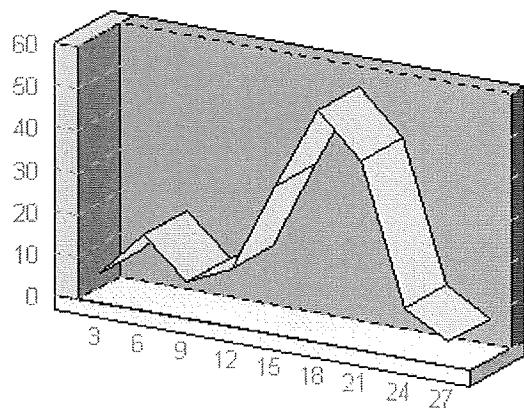
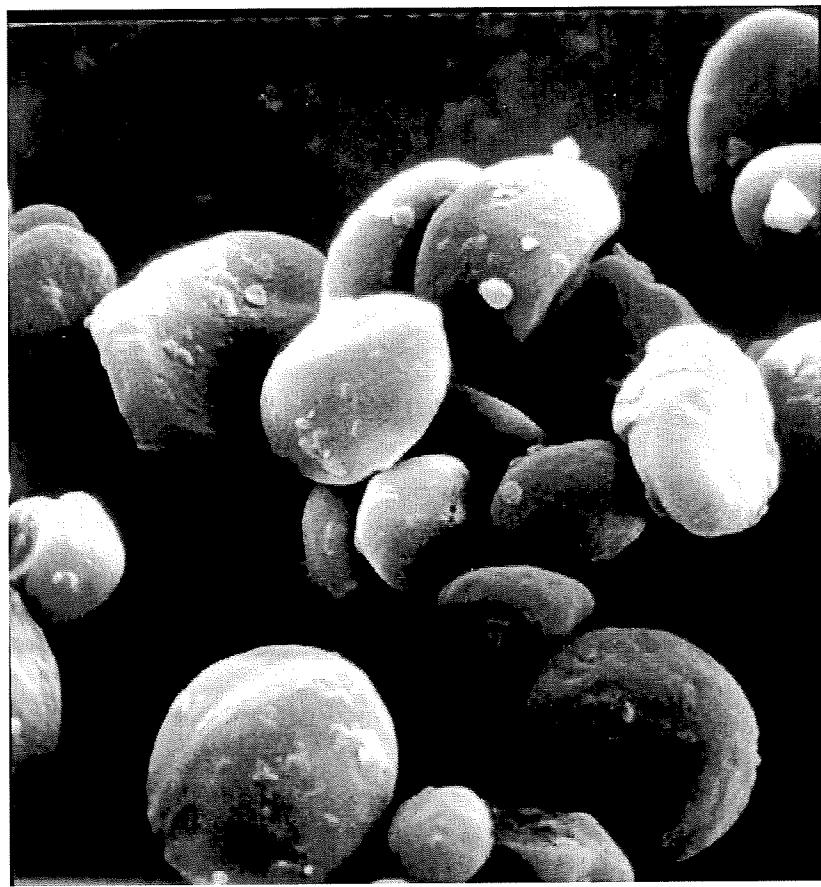


Figure 2-Wheat Starch Granule Distribution μm

This application requires a starch that is of a particular size and uniformity and arrowroot was the product of choice for many years. A starch such as wheat could not be used because its bimodal distribution of starch granules made it unsuitable (Figure 2 and Figure 3).

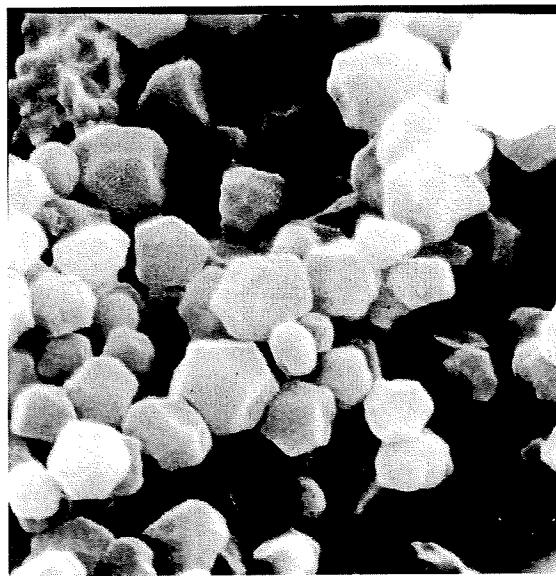
Figure 3- Air-Classified Wheat Starch



However, the variation in supply and cost of arrowroot prompted one company to develop a process of separating the population of small granules from the large ones through centrifugation which resulted in an immediate take over of this market from arrowroot. It was a case of value addition to the very basic physical characteristic of the starch.

Here is another interesting example of unique size, with all granules in the $1\mu\text{m}$ range.

Figure 4- Cow Cockle Starch



Other simple physical characteristics which have an impact on functionality are starch granule shape and surface. This is often a critical factor for applications requiring starch to be a surface carrier of materials such as colours, flavours, seasonings and even pesticides.

Starch has two major components: amylose and amylopectin. These polymers are very different structurally, amylose being linear and amylopectin highly branched - each structure playing a critical role in the ultimate functionality of the native starch and its derivatives. The amylose/amylopectin ratios of starches can be genetically manipulated and offer a significant opportunity for the researcher with certain crops. Viscosity, shear resistance, gelatinization, textures, solubility, tackiness, gel stability, cold swelling and retrogradation are all functions of their amylose/amylopectin ratio.

Table 2 - Amylose Content of Various Starches

Starch Source	% Amylose
Waxy Rice	0
High Amylose Corn	70
Corn	28
Cassava	17
Waxy Sorghum	0
Wheat	26
Sweet Potato	18
Arrowroot	21
Sago	26
Potato	20

When aiming at functional properties in starch, most commercial companies examine the characteristics of competitive starches in particular applications. This sets the target to shoot for. For those characteristics which are unattainable with native starches, the only alternative is to look towards some form of value-addition to achieve the desired results. Value-addition can be as simple as sterilizing products required for the pharmaceutical industry to highly complex chemical modification to confer properties totally different from the native starch.

Simple value-addition is represented by washing, air classification, centrifugation and pre-gelatinization. The latter process can be done in many from boiling in crude pots to drum dryers to modern multi-screw extruders, each method having its particular advantages and disadvantages. Complex value-addition is represented by the wide range of chemically modified starches found in the food, paper and textile industries.

The most common non-food applications for native and value added starches are as follows:

Non-Food Applications of Starches

Adhesives

- hot-melt glues
- stamps, bookbinding, envelopes
- labels (regular and waterproof)
- wood adhesives, laminations
- automotive, engineering
- pressure sensitive adhesives
- corrugation
- paper sacks

Explosives Industry

- wide range binding agent
- match-head binder

Paper Industry

- internal sizing
- filler retention
- surface sizing
- paper coating (regular and colour)
- carbonless paper stilt material
- disposable diapers, feminine products

Construction Industry

- concrete block binder
- asbestos, clay/limestone binder
- fire-resistant wallboard
- plywood/chipboard adhesive
- gypsum board binder
- paint filler

Metals Industry

- foundry core binder
- sintered metal additive
- sand casting binder

Textiles Industry

- warp sizing
- fabric finishing
- printing

Cosmetic and Pharmaceutical Industry

- dusting powder
- make-up
- soap filler/extender
- face creams
- pill coating, dusting agent
- tablet binder/dispersing agent

Mining Industry

- ore flotation
- ore sedimentation
- oil well drilling muds

Miscellaneous

- biodegradable plastic film
- dry cell batteries
- printed circuit boards
- leather finishing

As can be seen, there is a great variety of value-added applications for starch in the non-food area, and each application requires very particular functional characteristics. Even in the most basic non-food applications of starch, a great deal of value-addition is employed. Adhesives starches are acid or alkali treated, they are modified with oxidizing agents, salts and different alcohols. Textile starches are esterified, oxidized and are subject to various cross-linking agents.

The use of sophisticated, value added starches in paper products is even more noticeable, when one considers the wide range of applications in that industry. Starches are used to provide greater strength to tissues and paper towels, and they allow a greater use of recycled paper in liner board and cardboard. The growing demand for biodegradability promises to provide additional volumes as starch is used in plastic films and sheets as well as in natural fibre formulations that will eventually replace plastic foams. The volume of starch going into non-food uses is enormous and it is all based upon the functional characteristics of the individual products.

The non-food uses of starch are a prime indicator of a country's economy. During recessions, the volume of starch going into non-food use drops considerably. On the other hand, an active economy needs construction materials for buildings, industrial plants and housing; it needs paper for the bureaucracy, for packaging and wrapping various products, for corrugated boxes and it needs adhesives to stick all this economic activity together. As the economy booms, so does the volume of starches going into non-food uses. As countries develop, so does their demand for high quality, highly functional, value-added starches.

Of course, functionality is the key to marketing starches in the wide range of food applications. No other ingredient

provides texture to as many foods as starch does. Whether it is a soup, stew, gravy, pie filling, sauce or custard, starch provides a consistent shelf-stable product that consumers rely upon. The extent of specific functional properties of starches required by the food industry is almost unlimited and includes the following:

Functional Properties of Starches in Foods

- specific viscosity (hot and cold)
- thin boiling (faster canning heat transfer)
- viscosity resistance acid/mechanical sheer
- freeze-thaw stability (natural / modified)
- gel texture, body at various temperatures
- clarity, opacity
- processing conditions tolerance
- oil retention, high or low
- resistance to . setback. (gel formation)
- high sheen
- flow properties
- emulsion stabilizing capacity
- mouthfeel, lubricity, palate-coating
- suspension characteristics
- adhesiveness
- crystallinity
- bland taste
- long shelf-life stability
- hygroscopicity
- colour
- anti-caking
- cold-water swelling or dispersibility
- swelling and resistance to swelling
- film-forming properties

It is of interest that a growing proportion of these characteristics are being sourced from genetically-modified native starches as a result of the growing demand for natural foods. This may provide an interesting opportunity for new starches from developing countries.

The common applications for modified and native starches in the food industry are varied and numerous. The following Table demonstrates just a few:

Canning

- filling viscosity aid
- suspension aid for particulates
- opacity agent
- body or texture agent for soups, sauces, puddings and gravies
- aseptically canned products
- beverages such as coffee, teas or chocolate

Cereals and Snacks

- hot extruded snacks
- chips, pretzels, etc.
- extruded and fried foods
- ready-to-eat cereals

Bakery

- pies, tarts
- fillings, glazes
- custards and icings
- cakes, donuts, danish
- icing sugar

Batters and Breadings

- coated fried foods
- frozen battered vegetables, fish and meat

Frozen Foods

- fruit fillings
- meat pies
- Oriental foods
- soups, sauces
- entrees
- cream-based products

Flavours and Beverage Clouds

- encapsulation of flavours, fats, oils vitamins, spices, clouding agents
- spray dried flavours for dry beverage mixes, bartender mixes,
- beverage emulsions
- liquid and powdered non-dairy creamers

Confectionery

- dusting powder
- licorice
- jelly gums
- hard gums
- panned candies
- confectioners sugar

Dairy Products

- dry mix coatings

Dressings, Soups and Sauces

- mayonnaise-type
- pourable salad dressings (high shear)
- spoonable dressings
- instant dry salad dressing mixes
- low-fat dressing
- canned gravies and sauces
- frozen gravies and sauces
- soups and chowders

- yoghurt
- cheese and imitation cheese
- chilled desserts
- UHT Puddings
- low-fat products

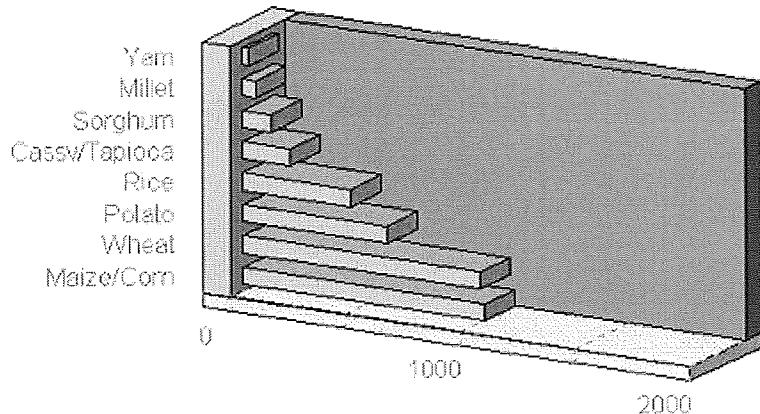
Microwavable Products

- cheese sauces
- entrees

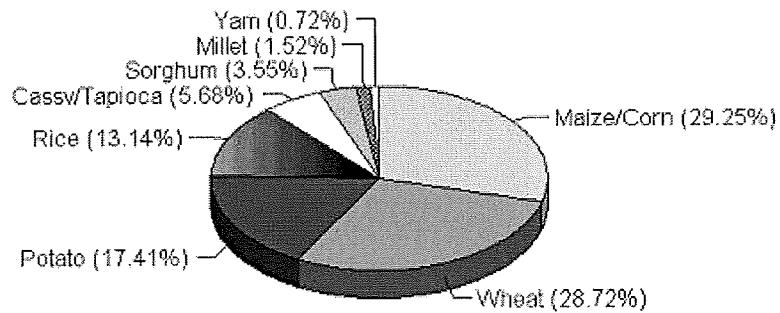
Cooked Meat Binder

- water binder for formed meat
- smoked meats, low-fat meats
- pet foods (dried and canned)

The range of food products employing starch in one form or another is almost without limit. But the utility of these starches is almost entirely based upon the natural or synthesized functional characteristics. There can be little doubt that the particular physical and chemical properties of individual starches are the keys to their commercial success. I recently carried out a simple search on the Food Science and Technology Abstracts and the Foods Intelligence databases to determine what has been published on the physical properties of various starches. The results of this short survey follows:



It is clear that the information available on the physical properties of cassava, sorghum, millet and yams cannot compare with that from the four major starches. The situation appears even more pronounced on the next pie chart indicating that the combined starches from yam, millet, sorghum and cassava account for less than 12% of all publications :



This is also reflected in the work on chemical properties as well as on the modification of starches. It is therefore clear that a significant amount of work remains to be done on the functional characteristics of native as well as modified tropical starches if they are ever to become competitive with corn, wheat or potato. While I do not wish to minimize the importance of agricultural research work on productive output, including yield enhancement and disease resistance, I do believe that a shift in emphasis is warranted if the goal of entering competitive world markets is to be realized. Work directed at product characteristics which make processing easier or more efficient, such as uniform-shaped roots or thin, easy to peel skins, make life much easier for the manufacturer. Slight changes in amylose/amylopectin ratios have tremendous effects on a wide range of functional characteristics. These are properties that the end-user requires and would be willing to pay for. Work on modifying starches to improve or broaden their functionality must continue aggressively, because the work on competitive starches never stops.

Tropical countries have a tremendous capacity to produce agricultural products. Unfortunately, this wealth of resources has proven to be a double-edged sword. Historically, it has been relatively easy to export basic raw commodities to the developed world markets. Because so many tropical countries were involved in this trade, it was always a buyers market. Whole economies rose and fell with fluctuations in the basic commodities market. No strong tradition of value-added research and development was established. A majority of value-addition to tropical starches is not being carried out in the countries of origin, it is carried out in developed countries.

This seems to be a fundamental defect in foreign trade strategies and it still continues today. When we examine the research work and the make-up of the professional staff of most national and international agricultural research institutions, this focus on production continues to be the typical pattern. This pattern must change if there is to be any hope of establishing a significant presence of value-added starches from developing countries on world markets.